GOVERNMENT OF ANDHRA PRADESH
A B S T R A C T

MA & UD DEPARTMENT- 14th Finance Commission- Guidelines of 14th Finance Commission Grants -Approved -Orders-Issued.

MUNICIPAL ADMINISTRATION & URBAN DEVELOPMENT (UBS) DEPARTMENT

G.O.Ms.No.56 Dated: 09.03.2016

Read the following:

1. From the Director of Municipal Administration, A.P., Hyderabad Lr.No.6018/2015/B3, dt: 01.6.2015, 23.10.2015 and 11.12.2015.

2. From the Director of Municipal Administration , A.P., Hyderabad, Lr.No.6018/2016/B3,Dated: 27.1.2016 and 5.3.2016.

<<>>

ORDER:-

The Director of Municipal Administration, A.P., Hyderabad vide references read above, has stated that the Fourteenth Finance Commission (14th FC), Government of India has recommended Grants to the Urban Local Bodies for the award period of 2015-16 to 2019-20 . The Grants basically comprising two categories viz. Basic Grant and Performance Grant. Basic Grants are allocated on the formula of 90% : 10% for Population (2011 Census), and area of ULB respectively. Performance Grants are released to the ULBs from the second year of the award period i.e.2016-17 on the fulfillment of the conditions as stipulated in Para 9.78 of the report of the XIV Finance Commission. The Director of Municipal Administration, A.P., Hyderabad has submitted draft guidelines of the utilization of 14th Finance Commission Grants and requested for approval.

2. Government after careful examination of the matter, hereby approve the guidelines of the 14th Finance Commission Grants, and annexed to this order. The Director of Municipal Administration, AP., Hyderabad and all the Municipal Commissioners of the Urban Local Bodies in the State shall strictly adhere to the guidelines while preparing, approving and implementing the Annual Development Plans , and utilization of funds under 14th Finance Commission Grants.

3. The Director of Municipal Administration, A.P., Hyderabad and all the Municipal Commissioners of the Urban Local Bodies in the State shall take further necessary action accordingly. The Director of Municipal Administration, AP., Hyderabad is requested to issue instructions to all ULBs to utilize of 14 Finance Commission grants in accordance with guidelines issued. The Director , Municipal Administration, AP. ,Hyderabad is also requested to finalize the action plan for 2016-17 and obtain administrative approval from the Competent Authority so that the projects can be grounded in the 1 st week of April 2016, and to take measures to ensure that the Urban Local Bodies become eligible for performance Grant with-in the year 2016-17.

4 This orders issues with the concurrence of Finance Department vide their U.O.No.27029/3/FC/2016, dated:3.3.2016.

(BY ORDER AND IN THE NAME OF THE GOVERNOR OF ANDHRA PRADESH)

R. KARIKAL VALAVEN,
PRINCIPAL SECRETARY TO GOVERNMENT

To
The Director of Municipal Administration, A.P., Hyderabad.
All the Municipal Commissioners of the Urban Local Bodies through the DMA.,AP., Hyd.
Copy to:
The P.S to Hon'ble Minister, Municipal Administration.
The P.S. to Principal Secretary to Government, MA&UD Dept.
The Finance (FC) Department
Sf/sc

//FORWARDED BY ORDER//

ASSISTANT DIRECTOR

ANNEXURE TO G.O.Ms.No. 56, Dated: 09 .03.2016

Guidelines for utilization of grants under XIV. FC in ULBs in A.P.

1. Distribution of Grants to ULBs in the State:

The XIVth Finance Commission, Government of India recommended grants in two parts – a Basic Grant and a Performance Grant for the duly constituted Urban Local Bodies. The share of Andhra Pradesh in respect of grants for Urban Local Bodies for the Award period from 2015-16 to 2019-20 i.e. Five year period is as follows:

(Rs. in crores)

Sl.No.	Year	Basic grants	Performance Grants	Total
1.	2015-16	348.92	---	**348.92**
2.	2016-17	483.14	142.59	**625.73**
3.	2017-18	558.23	161.36	**719.59**
4.	2018-19	645.77	183.25	**829.02**
5.	2019-20	872.57	239.95	**1112.52**
	Total	**2908.64**	**727.16**	**3635.80**

2. Basic Grants :

The own resources of the Urban Local Bodies are meagre. They are required as per the relevant statutes, to deliver a number of core services to their constituents. In addition, they have been assigned numerous functions by Union and State Governments. However, they depend on devolution from the State Government and grants from the State and Union Governments for providing core services. The purpose of the basic grant is to provide a measure of unconditional support to the Urban Local Bodies for delivering the basic functions assigned to them under the statutes. The grant provided is intended to be used to improve the status of basic civic services including water supply, sanitation ,including septage management , sewerage and solid waste management, storm water drainage, maintenance of community assets, maintenance of roads, footpaths and street-lighting, and burial and cremation grounds.

3. Utilization of Basic Grants:

All Urban Local Bodies are requested to utilize XIV Finance Commission Grants as per the following guidelines.

3-1. As ULB funds are being spent on certain basic services like street-lighting and footpaths. Basic grants need to be utilized on the improvement of the status of the following basic services namely

a) Water Supply
b) Sanitation including Septage Management
c) Sewerage and Solid Waste Management
d) Solid Waste Management
e) Storm Water Drainage
f) Maintenance of community assets i.e. development of parks, protection of open spaces, development of play grounds, maintenance of municipal school buildings
g) Maintenance of roads
h) Burial and cremation grounds

3-2. As basic civic services to be taken up with basic grants are too many and would result in their spreading of the resources if taken up simultaneously, ULB shall identify one or two such basic services as they deem more relevant for that ULB and utilize the grant on the said basic services

3-3. As stated in the guidelines issued by Government of India Ministry of Finance (DOE), Dt. 08.10.2015 it is advised that the cost of technical and administrative support towards O&M and capital expenditure should not exceed 10% of the allocation to a Municipality under any circumstance

3-4. Out of the 10% allocation made to the ULBs towards technical and administrative support, 1% of the total grant are to be earmarked for IEC activities and 1% for capacity building of stake holders in the ULBs, since the ULBs shall prepare action plans and achieve the set goals to access performance grants, which needs more expertise

4. Preparation of Annual Development Plan:

Every Urban Local Body shall prepare an Annual Development Plan for improvement of basic civic services with the grants to be released under XIVFC. The main objective of the Annual Development Plan is to improve the status of basic civic services for improving the quality of the life for citizens. The following guidelines are issued to Urban Local Bodies for preparation of Annual Development Plan.

4-1. Municipal Annual Development Plan – Admissible Components:

One or two basic services identified by the ULB out of the basic services indicated in para 3 of the guidelines shall be included in annual development plan. The following steps shall be followed for preparation of Municipal Annual Development Plan by ULBs.

Step-1 Preparation of town profile

Every Urban Local body shall collect data and prepare town profile, among others, covering the following information.

1) ULB at a glance
2) Infrastructure in a nutshell
3) Receipts for last 5 years
4) Payments for last 5 years
5) Reforms initiated and their impact
6) Infrastructure coverage
7) Details of ongoing schemes

The town profile shall be prepared in annexure – I appended.

Step-2 Preparation of Draft Five Year Plan

The Commissioner and Director of Municipal Administration, AP shall provide an indication of grants likely to be received by each ULB for the next five years from 2015-16 to 2019-20 year-wise from XIVFC recommendations. Based on the indication of funds, the Municipal Commissioner shall prepare a draft five year plan for taking up works essentially required every year i.e one or two basic services out of admissible sectors in the next five years duly indicating the funds proposed for each sector year-wise. Later on, the draft five year plan shall be placed before the Municipal Council / Corporation for indicating the prioritization of the sectors to be taken up year-wise in the five year plan and to approve the Five Year Plan.

Step-3 Constitution of Technical Working Group:

A technical working group shall be constituted in each ULB by the Municipal Commissioner for preparation of Annual Development Plan with the following composition:

1. Municipal Commissioner
2. Head of the Engineering Section
3. Head of the Town Planning Section
4. Head of the Health Section
5. Head of Urban Forestry / Horticulture Section
6. Head of UPA Section
7. Two Experts in Urban Development (if available)
8. Two Consultants in Urban Development (if available)
9. Executive Engineer (PH) in case of Grade-II and Grade-III ULBs.

The Municipal Commissioner shall call for proposals from elected members of ULB, officer bearers of Town Level Federations (TLFs) of Self Help Groups or Slum Level Federations (SLFs) in the absence of TLFs, Confederation of Residents Welfare Associations, NGOs duly furnishing the sector wise allocations indicated by the Council / Corporation for preparation of Five Year Plan and Annual Development Plan.

While preparing the Annual Development Plan, the technical working group shall ensure that 40% of the grants to be received under XIV FC are proposed for utilization in slum areas for the sectors admissible to the extent possible. Further the technical working group shall take in to consideration the proposals received from various above groups and prepare the Draft Annual Development Plan.

Step-4 Draft Annual Development Plan:

The technical working group shall prepare a draft Annual Development Plan in two sittings. The Annual Development Plan outlay shall be equivalent to the grants under XIV FC as indicated by the Commissioner and Director of Municipal Administration, AP. The draft development plan of the technical working group may contain the following chapters:

1) Description of the sector with data.
2) Efforts made in the sector during the last three years.
3) Existing problems, gaps, needs in the sector.
4) Strategies for addressing them.
5) Draft project proposals with reasons for such proposals.
6) Estimated cost of the works.

Step-5 Planning Process

Participation of the community is key to the entire planning process for preparation of Annual Development Plan. A stakeholders workshop shall be conducted as per the existing practice i.e. process adopted for APUSP, RNB, APMDP (World Bank Aided Project) on the Draft Annual Development Plan duly circulating the draft to the participants in advance. The views expressed in the stakeholders workshop shall be taken in to consideration before finalization of the draft Annual Development Plan.

Step-6 Approval of Plan

As a next step, the draft Annual Development Plan should be placed before the Municipal Council / Corporation for its approval.

Step-7 Structure of the Annual Development Plan

The Annual Development Plan shall be submitted to the Commissioner and Director of Municipal Administration, AP for arranging approval and should consist of the following chapters.

Executive Summary

Chapter 1

i. Year of constitution and extent/area
ii. Population including population of SC, STs and Women
iii. Composition of the Municipal Council / Corporation
iv. Infrastructure details
v. Receipts and Payment details for the last five years
vi. Recent initiatives and notable developments during the last three years
vii. Any other relevant information

Chapter 2

i. Five year plan of ULB
ii. Prioritization of Sectors
iii. Objectives of the Five Year Plan
iv. Outcomes of the Five Year Plan

Chapter 3

Summary of projects sector – wise
Project-wise information :

a) Existing scenario
b) Intended scenario
c) Size of the gap
d) Phased filling up of the gaps
e) Targets for the sector
f) Brief description of the projects proposed in the sector

Chapter 4

Abstract of the Annual Development Plan in Annexure-II enclosed to the guidelines.

Chapter 5

a) Monitoring mechanism

b) Monitoring of outcomes

5. Monitoring and Review

The Municipal Council / Corporation shall undertake monitoring and review of the Annual Development Plan once in a quarter for effective implementation of the Plan.

6. Role of Department of Municipal Administration and other functionaries :

The following functionaries in Municipal Administration Department shall undertake the activities as shown against each.

6-1. Sanctioning and Monitoring Committee:

Sanctioning and monitoring committee shall be constituted with the following members to accord approval for Annual Development Plans submitted by ULBs.

1. Principal Secretary to Government, MA&UD Department : Chairman
2. Secretary to Government, Fin. (Exp) Dept. : Member
3. Director of Municipal Administration : Member-Convener
4. Director, MEPMA : Member
5. Chief Engineer (Technical),Public Health. : Member
6. Director of Town and Country Planning : Member
7. An Expert in Urban Development : Member

The Commissioner and Director of Municipal Administration, AP. shall scrutinize the Annual Development Plans submitted by ULBs and place them before the Committee for consideration and approval. The Committee shall also take up quarterly review on the implementation of Annual Development Plan by ULBs.

6-2. XIV Finance Commission Cell:

A cell shall be created in the Office of the Commissioner and Director of Municipal Administration, AP with the following composition for operationalization of the recommendations of the XIVth Finance Commission:

1) Additional Director of Municipal Administration
2) Joint Director of Municipal Administration
3) Assistant Director of Municipal Administration

6-3. Functions and Responsibilities of XIV Finance Commission Cell and other Authorities

6-3-1. XIV Finance Commission Cell:

i. To provide an indication of grants likely to be received by each ULB year-wise from XIV Finance Commission Grants;
ii. To scrutinize and place Annual Development Plans of ULBs before the Sanctioning and Monitoring Committee;
iii. Working inter-se distribution of grants among the ULBs and distribution of Grants to ULBs;
iv. Issue of Administrative sanctions;
v. Replies to audit objections at the State Level;
vi. Monitoring & Evaluation – Monitoring may be undertaken separately for (a) plan preparation and (b) plan implementation with the help of the Regional Directors of Municipal Administration, Superintending Engineers of PHED, Regional Deputy Directors of Town Planning concerned and Municipal Commissioners;
vii. Clarifications on issues arising out of plan preparation, issue of administrative instructions;
viii. To conduct quality control in the execution of works and place the reports before the sanctioning and monitoring committee for review;

ix. To conduct concurrent evaluation of works and place the findings before the sanctioning and monitoring committee for review;
x. Obtaining utilization certificates from the implementing agencies after communicating a suitable format for the same;
xi. Developing guidelines on preparation of Annual Development Plans by ULBs for improvement of urban services with grants under XIV Finance Commission Grants ;
xii. Issue of orders approving the Annual Development Plans prepared by the ULBs;
xiii. To obtain quarterly progress reports on utilization of XIV Finance Commission Grants from all ULBs and submit a report to the Government in this matter; and
xiv. To take appropriate action in respect of the following conditions stipulated by XIVth Finance Commission by end of March, 2016;

a) Submission of audited annual accounts for the year 2014-15
b) Show an increase in own revenues over the preceding year as reflected in the audited accounts.
c) Publication of service level bench marks relating to basic urban services each year for the XIV FC award period and make it publically available.

6-3-2. Municipal Commissioner

i. In-charge of the planning exercise in the ULB as head of the technical working group.
ii. To organize stakeholders workshop in the ULB as indicated in the guidelines and help in promoting participation of all the stakeholders, peoples representatives, official functionaries and the like.
iii. Submission of audited annual accounts.
iv. To ensure increase in own revenues over the preceding year.

6-3-3. Municipal Council / Corporation

i. Approval of Five Year Plan.
ii. Prioritization of the sectors to be taken up under five year plan.
iii. Approval of Annual Development Plan.
iv. Monitoring and review of Annual Development Plan.

7. Timeframe for finalization of Annual Development Plan for the year 2015-16 and 2016-2017.

The following timeframe shall followed for finalization of Annual Development Plan of ULBs for the year 2015-16.

1.	Approval of Five Year Plan by the Corporation / Council	: 10.3.2016
2.	Preparation of Draft Annual Development Plan by the technical working group	: 12.3.2016
3.	Stakeholders Workshop on Annual Development Plan	: 14.3.2016
4.	Approval of Annual Development Plans by Corporation / Council	: 16.3.2016
5.	Submission of Annual Development Plan by ULBs to the Commissioner and Director of Municipal Administration, AP	: 20.3.2016
6.	Approval of Annual Development Plans by Sanctioning and Monitoring Committee	: 31.3.2016

8. Time frame for finalization of Annual Development Plan for the years 2017-18 to 2019-20.

1.	Preparation of Draft Annual Development of Plan by the working group	**:**	End of September of every financial year
2.	Stakeholders Workshop on Annual Development Plan	**:**	15th October of every financial year
3.	Approval of Annual Development Plans by Corporation / Council	**:**	15th November of every financial year

4.	Submission of Annual Development Plan by ULBs to the Commissioner and Director of Municipal Administration, AP	**:**	End of November of every financial year
5.	Approval of Annual Development Plans by Sanctioning and Monitoring Committee	**:**	End of December of every financial year

9. ***Progress Reports***

1.	Submission of quarterly progress report to the Commissioner and Director of Municipal Administration, APin annexure-III	:	10th of the month succeeding the quarter i.e. April, July, October, January of every year
2.	Review by Sanctioning and Monitoring Committee	:	25th of the month succeeding the quarter

Guidelines of Fourteenth Finance Commission Grants for the years 2016-17 to 2019-20

1. Urban Local Bodies in Andhra Pradesh are eligible for Performance Grants as per XIV Finance Commission recommendations from the year 2016-17 as shown here under:

Sl. No.	**Year**	**Performance Grant** (Rs. in crores)
1.	2016 – 17	142.59
2.	2017 – 18	161.36
3.	2018 – 19	183.25
4.	2019 – 20	239.95
	Total	**727.16**

2. To be eligible for Performance Grants from 2016-17 the ULB shall fulfil the following conditions in the financial year 2015-16.

1. Submission of Audited Annual Accounts for the year 2014-15
2. Improvement in own municipal revenues over the preceding year as reflected in the audited accounts
3. Publication of Service Level Benchmarks relating to basic urban services for each year from 2015-16

The above conditions shall be fulfilled every year from 2016-17 to 2019-20.

3. Guidelines for fulfilment of conditions:

The following guide lines shall be followed by the Urban Local Bodies for fulfilment of three conditions to become eligible for Performance Grants:

3-1. Submission of Audited Annual Accounts

The following timeframe shall be adhered by the Urban Local Bodies for submission of annual accounts for the year 2014-15 to State Audit Department and audit thereof by State Audit Department:

i.	Submission of annual accounts for the year 2014-15 to State Audit Department by each ULB	By 30-9-2015
ii.	Completion of audit of annual accounts for the year 2014-15 by State Audit Department	By 31-12-2015
iii.	Forwarding audited report of annual accounts for the year 2014-15 by State Audit Department to ULB	By 28-2-2016

3-2. Improvement in Own Municipal Revenues

The Urban Local Bodies shall make efforts to show an increase in own municipal revenues from the year 2014-15 over the preceding year i.e. 2013-14 at least by 5% of the total own revenues. The following information shall be submitted State Audit Department by all

Municipal Commissioners by 30-9-2015 to verify whether there is an improvement in own municipal revenues for the year 2014-15 over the preceding year 2013-14. Own revenues mean receipts from tax and non tax sources only. Assigned Revenues (i.e. Entertainment Tax, Surcharge on Stamp Duty, Profession tax compensation) Plan and Non-plan grants and Loans shall be excluded from own municipal revenues. ULBs which have achieved 5% or more increase in own Municipal revenues during 2014-15 over the preceding year 2013-14 shall be eligible for performance grant for the year 2016-17.

3-2-1. Statement showing improvement of own municipal revenues for the year 2014-15

i. Total own municipal revenues during the year 2013-14 as per audited accounts Rs. _____
ii. Total own municipal revenues during the year 2014-15 as per audited accounts Rs. _____
iii. Percentage of increase in own municipal revenues during the year 2014 – 15 over the preceding year, 2013-14 Rs. _____

The State Audit Department shall verify the information furnished by Urban Local Bodies in this regard and certify the percentage of increase in own municipal revenues during the year 2014 – 15 over the preceding year, 2013-14 as per audited accounts and furnish the required information to Municipal Commissioners by 31-12-2015.

3-3. Publication of Service Level Benchmarks relating to Basic Urban Services

1) Ministry of Urban Development, GOI in the year 2009 issued a Handbook on Service Level Benchmarks in respect of four key sectors – (i) Water supply (ii) Sewerage and Sanitation (iii) Solid Waste Management and (iv) Storm water drainage. Service Level Benchmarks developed by Ministry of Urban Development, GOI are expected to provide a standardized framework for performance monitoring in respect of the above services.

2) All Municipal Commissioners shall make necessary arrangements for publication of service level benchmarks for the year 2015-16 in four services namely (i) Water supply (ii) Sewerage and Sanitation (iii) Solid Waste Management and (iv) Storm water drainage in the District Gazette by 30-9-2015. The District Gazette should be made available to the residents of the ULB.

3) The Municipal Administration & Urban Development Department shall issue a gazette notification publishing current service levels and minimum service level targets proposed to be achieved for every year during the award period.

4) A orientation workshop on publication of Service Level Benchmarks in ULBs may be arranged at regional level to all Regional Directors and Municipal Commissioners, Municipal Engineers, Municipal Health Officers with the assistance of Centre for Good Governance (CGG), Hyderabad or any other Training Institution identified by the Government.

3-4. Fulfilment of Conditions

The Urban Local Bodies shall fulfil the above three conditions to become eligible for performance grants. Further, they shall submit the following documents to office of the Commissioner & Director of Municipal Administration, AP by **15-3-2016** without fail.

1. Audited annual accounts for the year 2014-15
2. Statement showing improvement in municipal own revenues for the year 2014-15
3. District gazette showing publication of Service Level Benchmarks for the year 2015-16.

4. Guidelines for disbursal of Performance Grants:

State Finance Commission (SFC) has not suggested any formula for distribution of grants recommended by it to ULBs in its latest report submitted on 29-1-2008. As SFC formula is not available, the basic grants to ULBs shall be distributed on the basis of population of 2011 census with a weight of 90% and area with a weight of 10%. The Performance Grants to ULBs which fulfil three conditions at 3.1, 3.2. and 3.3 shall be distributed based on the formula adopted for distribution of Basic Grants ie. Population (2011 Census)(90%): Area (10%) of the ULB concerned.

In the first instance, Performance Grants for the year 2016-17 shall be distributed to the eligible ULBs as per the formula adopted for distribution of basic grants ie. Population (2011 Census)(90%): Area (10%) of the ULB concerned. In case, any amount of the Performance Grant remains after disbursement to the eligible ULBs, the un-disbursed amount shall be distributed again among all the eligible ULBs which have fulfilled the conditions for getting Performance Grants as stipulated above.

5. Constitution of Regional Level Committees:

A Committee shall be constituted at Regional Level to oversee the implementation of the above guidelines for utilization of Basic Grants by preparation of a Annual Development Plan and for disbursal of Performance Grants to the ULBs under XIVth Finance Commission Grants from the year 2016-17 . The composition of the Committee shall be as follows:

1. Regional Director of Municipal Administration
2. Superintending Engineer (Public Health)
3. Regional Deputy Director of State Audit Department

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Enclosures:

1. Annexure – I : Town Profile
2. Annexure – II : Abstract of ULB Annual Development Plan outlay.
3. Annexure – III : Quarterly Progress Report

Annexure – I - Town Profile
1.1 ULB at a Glance

Item		Units	Details
Area		Sq.Km.	
Year of Constitution		Year	
Grade of the Municipality		Grade	
Population	2011 Census	No.	
Population	2001 Census	No.	
Population of SCs	2011 Census	No.	
Population of STs	2011 Census	No.	
Total Households		No.	
Literacy	Male	%	
Literacy	Female	%	
Literacy	Total	%	
Municipal wards		No.	
Slums	Notified	No.	
Slums	Non-notified	No.	
Slum Population		No.	
Annual income (incl. grants) (2014-15)		Rs.in lakhs	
Annual income (own revenues) (2014-15) (Taxes and Non-taxes only)		Rs.in lakhs	
Annual expenditure (2014-15)		Rs.in lakhs	
Government Hospitals		No.	
Urban Health Centres		No.	
Private hospitals		No.	
Government schools		No.	
a) High schools		No.	
b) Upper primary schools		No.	
c) Primary schools		No.	
Municipal Schools		No.	
a) High schools		No.	
b) Upper primary schools		No.	
c) Primary schools		No.	
Private Schools		No.	
a) High schools		No.	
b) Upper primary schools		No.	
c) Primary schools		No.	
Total Schools			
Burial grounds		No.	
Vegetable Markets		No.	
Parks		No.	
Community Halls		No.	
Lakes / tanks		No.	
Civil Society Organizations			
TLFs		No.	
SLFs		No.	
SHGs		No.	
CMEY groups		No.	
Colony welfare associations		No.	
Civic exnora societies		No.	

Item	Units	Details
NGOs	No.	

1.2 Infrastructure in a Nutshell

Item	Units	Details
Water Supply		
Protected water supply reservoirs	No.	
Total installed capacity of protected water supply	MGD	
Houser service connections	No.	
Public stand posts	No.	
Length of distribution pipe line	Km.	
Unserved population	%	
Water supply through bore wells	MGD	
Power bores	No.	
Hand bores	No.	
Roads		
C.C. roads length	Km.	
B T roads length	Km.	
WBM roads length	Km.	
Kutcha roads length	Km.	
Unreserved population	%	
Drains		
Pucca drains length	Km.	
Kutcha drains length	Km.	
Storm water drains length	Km.	
Unserved population	%	
Street Lighting		
High mast lights	No.	
Central lighting	No.	
SV lamps	No.	
MV lamps	No.	
Tube lights	No.	
Unserved population	%	
Sanitation		
Garbage generation/day	MTs	
Garbage lifted/day	MTs	
Service deficiency	%	

1.3 Receipts for the last 5 years

(Rs. in Lakhs Crores)

Sl. No.	Item	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
1.	Taxes					
2.	Non-taxes					
3.	Assigned revenue					
4.	Non-plan grants					
5.	Plan grants					
	Grand total					

1.4 Payments for the last 5 years

(Rs. in lakhs)

Sl. No.	Item	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
1.	Establishment					
2.	Maintenance of services					
3.	Capital works					
4.	Others					
	Total					

1.5 Reforms initiated and their impact

Sl. No.	Type of reform	Status	Impact
1.	Introduction of accrual based double entry accounting system		
2.	E-governance initiatives		
3(a)	Property tax coverage ratio - 2012-13		
	Property tax coverage ratio - 2013-14		
	Property tax coverage ratio - 2014-15		
3(b)	Property tax collection - 2012-13		
	Property tax collection - 2013-14		
	Property tax collection - 2014-15		
4.	User charges		
4(a)	Total O & M cost on water supply - 2012-13		
	Total water charges collected - 2012-13		
	Percentage of water charges collected to O&M cost - 2012-13		
4(b)	Total O & M cost on water supply - 2013-14		
	Total water charges collected - 2013-14		
	Percentage of water charges collected to O&M cost - 2013-14		
4(c)	Total O & M cost on water supply – 2014-15		
	Total water charges collected - 2014-15		
	Percentage of water charges collected to O&M cost – 2014 -15		
5.	Earmarking of funds for utilization in slum areas - 2014 -15		
5(a)	Net Municipal funds – 2014 -15		
5(b)	40% net Municipal funds earmarked for slum areas – 2014 -15		
5(c)	Amount utilized in slum areas during the year – 2014 -15		
5(d)	Percentage of funds utilized – 2014 -15		

1.6 Infrastructure Coverage

A. Water Supply			
Details	**Unit**	**Dry Season**	**Wet Season**
Households with HSCs	%		
Households served by PSPs	%		
Total households with piped water supply	%		
Households served by tankers	%		
Average supply time per day	Hours		
Average per capita supply	LPCD		
Average percentage losses in system(NRW, UFW)	%		

B. Sewerage & Sanitation

Type	**Unit**	
Households with sanitary latrines	**%**	
Households connected to sewers	**%**	
Households with unsanitary latrines	**%**	
Households with no facility	**%**	

C. Solid Waste Management					
Details	**Unit**	**Town centre**	**Markets**	**Suburbs**	**Slums**
Frequency of garbage collection	No./ week				
Garbage generated per day	MTs				
Garbage collected per day	MTs				
Percentage of garbage collected	%				
Households covered by door-to-door collection of garbage	No.				
Percentage of households covered by door-to-door collection	%				
Households where garbage is segregated	No.				
Percentage of houses where garbage is segregated	%				
Disposal of waste	Extent		Distance to Municipal limits		
1. Land fill					
1. Composting					
1. Dumping					
1. Others					
Annual expenditure on SWM inclusive of privatization of sanitation	Rs. in lakhs				
Annual expenditure on privatization of sanitation only	Rs. in lakhs				

D. Storm water Drainage	
Main areas of town prone to flooding	
Frequency of flooding	
Extent of damage	
Availability of storm water drainage master plan	

E. Roads	
Estimated percentage of all roads per built-up area	
Estimated percentage of pucca roads per built-up area	
Is there a roads or traffic plan?	

F. Street Lights	
Average spacing of street lights on main roads	
Average spacing of street lights on all roads	

1.7 Details of Ongoing Schemes

Sl. No.	Name of the scheme	Sector	Name of the work	Amount Rs. in lakhs	Funding by	When Started?	Status	Coverage / area benefited

Commissioner
---------- Municipality / Corporation/N.P

Annexure – II
Abstract of Annual Development Plan with grants under XIV FC

Name of the ULB:- Financial Year:-

(Rs. in Lakhs)

Sl. No.	Name of the Sector	Name of the Work	Estimated Cost
1			
2			
3			
4			
5			
6			
7			
8			
9			
1			
Total			

Commissioner
---------- Municipality / Corporation/N.P

Annexure – III
Quarterly progress report on implementation of Annual Development Plan with grants under XIV FC for the quarter ending _____

Name of the ULB:-

(Rs. in Lakhs)

Sl. No.	Name of the Work	Estimated cost	Utilization of grants during the quarter	Cumulative Utilization of grants up the end of the quarter	Balance
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					
Total					

Commissioner
---------- Municipality / Corporation/N.P

R. KARIKAL VALAVEN,
PRINCIPAL SECRETARY TO GOVERNMENT